

201263



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

May 3, 2005

Date

Carolyn L. Ross

Carolyn L. Ross

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. :	09/916,164	Confirmation No. :	9145
Applicants :	Gundu M. Sabde and Whonchee Lee		
Filed :	July 25, 2001	Attorney Docket No.:	500163.05
Art Unit :	1763	Customer No. :	27,076
Examiner :	Parviz Hassanzadeh		
Title :	METHODS AND APPARATUSES FOR PLANARIZING MICROELECTRONIC SUBSTRATE ASSEMBLIES		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/356,808, filed July 20, 1999. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of

which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(c). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

DORSEY & WHITNEY LLP



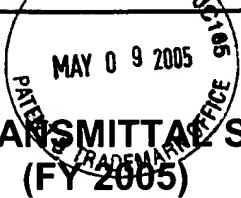

Marcus Simon
Registration No. 50,258

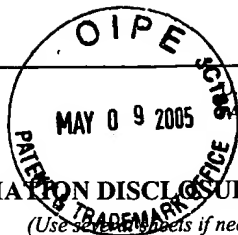
Enclosures:

Postcard
Check
Fee Transmittal (+ copy)
Copy of Information Disclosure Statement
Form PTO-1449

1420 Fifth Avenue, Suite 3400
Seattle, WA 98101-4010
Telephone: (206) 903-8800
Facsimile: (206) 903-8820

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Effective on 12/08/04 <div style="text-align: center;">  FEE TRANSMITTAL SHEET (FY 2005) </div>		Complete if Known																																																																																																									
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<input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No. 50-1266 ; Deposit Account Name: DORSEY & WHITNEY LLP . <input checked="" type="checkbox"/> Check Enclosed.		<div style="text-align: center;"> 3. ADDITIONAL FEES </div> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%;">Large Entity Fee</th> <th style="width: 10%;">Small Entity Fee</th> <th style="width: 60%;">Fee Description</th> <th style="width: 20%;">Fee paid</th> </tr> </thead> <tbody> <tr> <td>50</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td>\$</td> </tr> <tr> <td>130</td> <td>65</td> <td>Surcharge - Late nonprovisional filing fee or oath</td> <td>\$</td> </tr> <tr> <td>180</td> <td>180</td> <td>Submission of IDS</td> <td>\$180</td> </tr> <tr> <td>40</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td>\$</td> </tr> <tr> <td>120</td> <td>60</td> <td>Extension for reply within first month</td> <td>\$</td> </tr> <tr> <td>450</td> <td>225</td> <td>Extension for reply within second month</td> <td>\$</td> </tr> <tr> <td>1,020</td> <td>510</td> <td>Extension for reply within third month</td> <td>\$</td> </tr> <tr> <td>1,590</td> <td>795</td> <td>Extension for reply within fourth month</td> <td>\$</td> </tr> <tr> <td>2,160</td> <td>1,080</td> <td>Extension for reply within fifth month</td> <td>\$</td> </tr> <tr> <td>790</td> <td>395</td> <td>Submission After Final 1.129</td> <td>\$</td> </tr> <tr> <td>500</td> <td>250</td> <td>Notice of Appeal</td> <td>\$</td> </tr> <tr> <td>500</td> <td>250</td> <td>Filing a brief in support of an appeal</td> <td>\$</td> </tr> <tr> <td>1,000</td> <td>500</td> <td>Request for oral hearing</td> <td>\$</td> </tr> <tr> <td>130</td> <td>65</td> <td>Terminal Disclaimer Fee</td> <td>\$</td> </tr> <tr> <td>800</td> <td>400</td> <td>Design Issue Fee</td> <td>\$</td> </tr> <tr> <td>790</td> <td>395</td> <td>Request for Continued Examination (RCE)</td> <td>\$</td> </tr> <tr> <td>130</td> <td></td> <td>Request for voluntary publication or republication</td> <td>\$</td> </tr> <tr> <td>500</td> <td>250</td> <td>Petition to Revive - unavoidable</td> <td>\$</td> </tr> <tr> <td>1,500</td> <td>750</td> <td>Petition to Revive - unintentional</td> <td>\$</td> </tr> <tr> <td>200</td> <td></td> <td>Filing for patent term adjustment</td> <td>\$</td> </tr> <tr> <td>400</td> <td></td> <td>Request for reinstatement of term reduced</td> <td>\$</td> </tr> <tr> <td>1,120</td> <td></td> <td>Extension of term of patent</td> <td>\$</td> </tr> <tr> <td colspan="3">OTHER FEE (specify)</td> <td>\$</td> </tr> <tr> <td colspan="3" style="text-align: right;">Subtotal (Additional Fees)</td> <td>\$180</td> </tr> <tr> <td colspan="3" style="text-align: right;">Total Amount of Payment:</td> <td>\$180</td> </tr> </tbody> </table>		Large Entity Fee	Small Entity Fee	Fee Description	Fee paid	50	25	Surcharge - late provisional filing fee or cover sheet	\$	130	65	Surcharge - Late nonprovisional filing fee or oath	\$	180	180	Submission of IDS	\$180	40	40	Recording each patent assignment per property (times number of properties)	\$	120	60	Extension for reply within first month	\$	450	225	Extension for reply within second month	\$	1,020	510	Extension for reply within third month	\$	1,590	795	Extension for reply within fourth month	\$	2,160	1,080	Extension for reply within fifth month	\$	790	395	Submission After Final 1.129	\$	500	250	Notice of Appeal	\$	500	250	Filing a brief in support of an appeal	\$	1,000	500	Request for oral hearing	\$	130	65	Terminal Disclaimer Fee	\$	800	400	Design Issue Fee	\$	790	395	Request for Continued Examination (RCE)	\$	130		Request for voluntary publication or republication	\$	500	250	Petition to Revive - unavoidable	\$	1,500	750	Petition to Revive - unintentional	\$	200		Filing for patent term adjustment	\$	400		Request for reinstatement of term reduced	\$	1,120		Extension of term of patent	\$	OTHER FEE (specify)			\$	Subtotal (Additional Fees)			\$180	Total Amount of Payment:			\$180
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CUSTOMER NUMBER	DORSEY & WHITNEY LLP	1420 Fifth Avenue, Suite 3400 Seattle, WA 98101-4010 (206) 903-8800 phone / (206) 903-8820 fax																																																																																																									
Name: Marcus Simon		Reg. No.: 50,258																																																																																																									
Signature: 		Date: May 3, 2005																																																																																																									

FORM PTO-1449
(REV.7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
500163.05APPLICATION NO.
09/916,164

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT(S)

Gundu M. Sabde and Whonchee Lee

FILING DATE

July 25, 2001

GROUP ART UNIT

1763

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,826,563	05/02/89	Hassett et al.	156	636	
	AB	5,705,470	01/06/98	Faris	510	403	
	AC	6,306,012 B1	10/23/01	Sabde	451	41	
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK							
	AL							
	AM							
	AN							
	AO							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	
	AQ	
	AR	

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).